



IP7 Rec'd PCT/PTO 27 JUN 2006

DLA PIPER RUDNICK GRAY CARY U.S. LLP

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DOCKET NO.: 9551-022 NATL

ATTENTION: MAIL STOP PCT

**THIS IS A RESPONSE TO
NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN
THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)**

ASSISTANT COMMISSIONER FOR PATENTS

PO BOX 1450

ALEXANDRIA, VA 22313-1450

Re: Inventors: HARVEY POLLARD ET AL.
Serial No: 10/560,590
Filed: DECEMBER 13, 2005
For: AMPHIPHILIC PYRIDINIUM COMPOUNDS, METHOD OF
MAKING AND USE THEREOF

SIR:

Attached hereto for filing are the following papers:

FEE TRANSMITTAL

RETURN COPY OF NOTIFICATION OF MISSING REQUIREMENTS

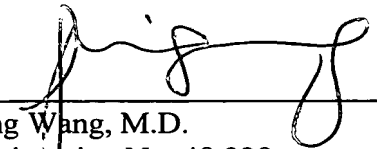
RESPONSE TO NOTICE OF MISSING REQUIREMENTS

DECLARATION (3 PAGES EXECUTED)

Please charge Deposit Account No. 50-1442 in the total amount of \$450.00 covering application examination fees (\$200), late declaration surcharge fee (\$130), and one (1) month extension fee (\$120). In the event any variance exists between this amount and the Patent Office charges for filing the above-noted documents, including any fees required under 37 C.F.R.1.136 for any necessary extension of time to make the filing of the attached documents timely, please charge or credit the difference to Deposit Account No. 50-1442. Further, if these papers are not considered timely filed, then a request is hereby made under 37 C.F.R.1.136 for the necessary extension of time. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

DLA PIPER RUDNICK GRAY CARY U.S. LLP


Ping Wang, M.D.
Registration No. 48,328

07/03/2006 3FREY: 00000103 00200350

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02 700,000

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FEE TRANSMITTAL FY 2006

Docket No.	9551-022 NATL
Serial No.	10/560,590
Filing Date	December 13, 2005
Inventor(s)	Harvey POLLARD et al.
Group Art Unit	
Examiner	

TOTAL AMOUNT OF PAYMENT \$450.00

1. ☒ Applicant claims small entity status.
- ☒ Charge any **UNDERPAYMENT** or credit any **OVERPAYMENT** in the indicated fees to Deposit Account No. 50-1442.
- ☐ Charge the indicated fees to Deposit Account No. 50-1442.

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Description	Fee Paid
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2. ☒ Check enclosed.

FEE CALCULATION

1. BASIC FILING FEE

Fee Code	Fee (\$)	Fee Code	Fee (\$)		Fee Paid
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1051	130	2051	65	Surcharge-late filing fee or oath	130.00
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1053	130	1053	130	Non-English Specification	
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1251	120	2251	60	1-mo. ext. of time	120.00
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1252	450	2252	225	2-mo. ext. of time	
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1253	1020	2253	510	3-mo. ext. of time	
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1254	1590	2254	795	4-mo. ext. of time	
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1255	2160	2255	1080	5-mo. ext. of time	
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1401	500	2401	250	Notice of Appeal	
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1402	500	2402	250	Appeal Brief	
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1403	1000	2403	500	Request for Oral Hearing	
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1501	1400	2501	700	Utility/Reissue Issue Fee	
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1504	300	1504	300	Publication Fee	
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1806	180	1806	180	IDS Submission	
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8021	40	8021	40	Assignment Recordation	
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1801	790	2801	395	For Filing RCE	
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1814	130	2814	65	Terminal Disclaimer	
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2. EXTRA CLAIM FEES

tot. claims - 20* = 0 x \$25 = 0

ind. claims - 3* = 0 x \$100 = 0

☐ Multiple Dependent Claims \$180 =

SUBTOTAL 0

3. APPLICATION SIZE FEES

Other:

Total # of Sheets # of Extra Sheets

- 100 = 0

of each additional 50 sheets or fraction thereof (round up)

Fee Total Fee Due

x \$125 = 0

SUBTOTAL 250

Name	Ping Wang, M.D.	Registration No.	48,328
Signature		Date	June 27, 2006
Name		Telephone	202-861-3900
		Registration No.	



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/560,590	Harvey Pollard	9551-022 NATL

INTERNATIONAL APPLICATION NO.

PCT/US04/20718

I.A. FILING DATE	PRIORITY DATE
06/28/2004	06/27/2003

Patent Group
Dla Piper Rudnick Gray Cary
1200 Nineteenth Street NW
Washington, DC 20036-2412

CONFIRMATION NO. 6958

371 FORMALITIES LETTER



OC00000018388361

Date Mailed: 03/27/2006

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 12/13/2005
- Copy of the International Search Report filed on 12/13/2005
- Preliminary Amendments filed on 12/13/2005
- U.S. Basic National Fees filed on 12/13/2005

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$330 for a Large Entity:

- \$130 Surcharge.
- The application examination fee has not been paid. Applicant must submit \$200 to complete the examination fee for a non-small entity. Note a surcharge will be required if submitted later than commencement of the national stage (37 CFR 1.492(h)) and the basic national fee was not paid before

July 1, 2005.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

INDIA L EVANS

Telephone: (703) 308-9140 EXT 212

PART 2 - OFFICE COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/560,590	PCT/US04/20718	9551-022 NATL